look forward to retirement!

Iowa Department of Administrative Services

Retirement Investors' Club (RIC)

401(a) Roll-in Form

If you qualify, you may request to move money from an existing eligible retirement plan into your State of Iowa 401(a) employer match account. This is a nontaxable transfer. Please contact your existing plan to determine if you must complete any required forms before the plan will release the funds.

Personal Information

Name _____ Social Security # _____
Last First MI

Telephone (work) _____ Telephone (home) _____

Roll-in Instructions

Roll my previous retirement account into my State of Iowa 401(a) Employer Match account with:

☐ AIG Retirement ☐ Hartford Life ☐ ING Financial Advisers ☐ Nationwide

Previous Plan Information

The previous plan administrator must complete this section.

Plan type: ☐ IRA (Traditional, Rollover, Simple) ☐ 401(k) ☐ 401(a) ☐ 403(b) ☐ 403(a) ☐ SEP
☐ Other qualified plan _____

Previous Employer Name (if applicable) _____

Account Number _____ Approximate rollover amount $ _____

Previous plan administrator/trustee/custodian authorization
I am the Plan Administrator or Trustee for the previous plan named on this form. I affirm that the plan is eligible to make this direct rollover.

Signature _____ Date _____

Printed Name _____ Phone # _____

Company Name _____ Fax # _____

Address _____

City, State, Zip Code _____

Participant Signature

I understand that only certain types of distributions are eligible for rollover treatment and that it is solely my responsibility to ensure such eligibility. I understand my transferred funds will be subject to the rules and restrictions of the RIC 401(a) Employer Match account.

X _____ _____
Signature Date

Instructions

Please fax or mail this completed form, along with a copy of any forms required by your previous plan, to the location shown below.

State of Iowa Retirement Investors' Club ▪ Iowa Department of Administrative Services
Human Resources Enterprise ▪ 1305 E. Walnut ▪ Hoover Building, Level A ▪ Des Moines, IA 50319
515-281-8677 ▪ 515-281-5102 (fax) ▪ http://das.hre.iowa.gov/ric.html

CFN 552-0623 / Revised 12/07

DAS